# Homomorphic Encryption and Confidential Computing 

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## Cryptography

Alice wants to send Bob a message. She does the following.
(1) Alice sends the message to Bob.
(2) Bob receives and reads the message.

What if Alice doesn't want anyone else reading her message? An unwanted third party could intercept the transmission and read the message!

Instead, Alice will try this. Suppose Alice and Bob possess some shared information called a secret key, which only they know.
(1) Alice disguises (encrypts) the message (plaintext) using the secret key.
(2) Alice sends the encrypted message (ciphertext) to Bob.
(3) Bob decrypts the message using the secret key.
(c) Bob reads the message.

If a third party adversary intercepts the message, they can not read it without the secret key that only Alice and Bob possess!

Homomorphic encryption allows for addition and multiplication to be performed on ciphertexts without decrypting or possessing the secret key.

Furthermore, it ciphertext computations result in the same output as plaintext computations. That is, for messages $m_{0}, m_{1}$ and secret key $k$,

$$
\begin{aligned}
\operatorname{Enc}\left(m_{0}, \mathrm{k}\right)+\operatorname{Enc}\left(m_{1}, \mathrm{k}\right) & =\operatorname{Enc}\left(m_{0}+m_{1}, \mathrm{k}\right) \\
\operatorname{Enc}\left(m_{0}, \mathrm{k}\right) \operatorname{Enc}\left(m_{1}, \mathrm{k}\right) & =\operatorname{Enc}\left(m_{0} m_{1}, \mathrm{k}\right)
\end{aligned}
$$

## Notation

Define $\mathbb{Z}_{q}$ as the ring of centered representatives $\mathbb{Z}_{q}:=\mathbb{Z} \cap(-q / 2, q / 2]$.
When given an integer $x$, we denote $[x]_{q}$ as the reduction of $x$ into the interval $\mathbb{Z}_{q}$ such that $q$ divides $[x]_{q}-x$. When $x$ is a polynomial or vector, $[x]_{q}$ means applying $[\cdot]_{q}$ to each coefficient.

We define $R_{n}$ as the ring

$$
R_{n}:=\mathbb{Z}[x] /(\Phi(x))
$$

where $\Phi(x)$ is an $m$ th cyclotomic polynomial of degree $n$, a power of two. Namely, $\Phi(x)=x^{n}+1$.

$$
R_{n, q}:=\mathbb{Z}_{q}[x] /(\Phi(x))
$$

## Notation

For any polynomial $f(x)=\sum_{i=0}^{k} a_{i} x^{i}$ with $a_{i} \in \mathbb{R}$, the infinity norm of $f(x)$ is defined as

$$
\|f(x)\|_{\infty}=\max \left\{\left|a_{0}\right|, \ldots,\left|a_{k}\right|\right\}
$$

therefore using centered representatives, for any $f(x) \in R_{n, q}$ we have $\|f(x)\|_{\infty} \leq q / 2$.

The symbols $\lfloor\cdot\rfloor$ and $\lceil\cdot\rceil$ will denote floor and ceiling respectively, whereas $\lfloor\cdot\rceil$ will denote rounding to the nearest integer.

For a set $S$ and a given probability distribution $\chi$, we let $\chi(S)$ denote that distribution on $S$. We will denote $U(S)$ as a uniform distribution on $S$.

In order to construct a feasible cryptosystem, we first need an underlying hard problem.

We will use a problem called the ring leaning with errors (RLWE) problem.

## Ring Learning With Errors (RLWE)

(1) Choose secret $s \in R_{n, q}$.
(2) Sample $e \leftarrow \chi\left(R_{n}\right)$ such that $\|e\|_{\infty} \leq \rho$.
(3) Sample $a \leftarrow U\left(R_{n, q}\right)$.
(9) Compute $b \in R_{n, q}$ via $b \equiv a s+e \bmod (\Phi(x), q)$.

The ordered pair $(a, b) \in R_{n, q}^{2}$ is called an RLWE sample.
RLWE Problem: Given many RLWE samples, determine $s$.

## Basic Homomorphic Encryption

Three main schemes: BFV and BGV (exact), and CKKS (approximate). We will focus on the BFV scheme.

## Keys and Messages

We'll only look at the private key version of BFV, but a public key version is achievable with some simple modifications.

The secret key is chosen as some sk $=s \in R_{n, 3}$, which is a polynomial with coefficients in $\{-1,0,1\}$.

The messages are of the form $m_{0} \in R_{n, t}$, where $t$ is a positive integer $\ll q$.

## BFV Private Key Encryption

Consider a message $m_{0} \in R_{n, t}$. We then encrypt the message using the secret key sk $=s \in R_{n, 3}$, a constant $D=\lfloor q / t\rfloor \in \mathbb{Z}^{+}$, and a chosen parameter $\rho \in \mathbb{Z}^{+}$as follows:
(1) Sample $e_{0} \leftarrow \chi\left(R_{n}\right)$ such that $\left\|e_{0}\right\|_{\infty} \leq \rho$.
(2) Sample $a_{0} \leftarrow U\left(R_{n, q}\right)$.
(3) Compute $b_{0} \in R_{n, q}$ via $b_{0}=-a_{0} s+D m_{0}+e_{0} \bmod (\Phi(x), q)$.
(9) Return $\mathrm{ct}_{0}=\left(a_{0}, b_{0}\right)$.

The ordered pair ct ${ }_{0}=\left(a_{0}, b_{0}\right) \in R_{n, q}^{2}$ is our BFV ciphertext.

Big Property: Our ciphertext $\mathrm{ct}_{0}=\left(a_{0}, b_{0}\right) \in R_{n, q}^{2}$ satisfies the relationship

$$
b_{0}+a_{0} s \equiv D m_{0}+e_{0} \quad \bmod (\Phi(x), q)
$$

Furthermore, knowing only ( $a_{0}, b_{0}$ ) gives no information on $s$ or $m_{0}$ based on the hardness of RLWE.

## BFV Decryption

If we possess the secret key $s k=s$, can we retrieve the message? The following gives the decryption algorithm.
(1) Compute $m_{0}=\left\lfloor\frac{b_{0}+a_{0} s \bmod (\Phi(x), q)}{D}\right\rceil$.
(2) Return $m_{0}$.

Is this actually our $m_{0}$ ? Note that a BFV ciphertext has the relationship $b_{0}+a_{0} s \equiv D m_{0}+e_{0} \bmod (\Phi(x), q)$, so

$$
\left\lfloor\frac{b_{0}+a_{0} s \bmod (\Phi(x), q)}{D}\right\rceil=\left\lfloor\frac{D m_{0}+e_{0}}{D}\right\rceil=\left\lfloor m_{0}+\frac{e_{0}}{D}\right\rceil=m_{0}+\left\lfloor\frac{e_{0}}{D}\right\rceil
$$

As long as $\left\|e_{0}\right\|_{\infty}<D / 2$, decryption is correct.

## Arithmetic Operations

The unique part of homomorphic encryption is the ability to perform operations on ciphertexts.

How do we do this?

## Addition

Goal: We want to construct an addition operation that doesn't need knowledge of $s$.

Given two BFV ciphertexts, $\mathrm{ct}_{0}=\left(a_{0}, b_{0}\right)$ and $\mathrm{ct}_{1}=\left(a_{1}, b_{1}\right)$ in $R_{n, q}^{2}$ satisfying

$$
\begin{aligned}
& b_{0}+a_{0} s \equiv D m_{0}+e_{0} \quad \bmod (\Phi(x), q) \\
& b_{1}+a_{1} s \equiv D m_{1}+e_{1} \quad \bmod (\Phi(x), q)
\end{aligned}
$$

We want to find a way to make a new ciphertext $\mathrm{ct}_{2}=\left(a_{2}, b_{2}\right)$ that satisfies

$$
b_{2}+a_{2} s \equiv D\left(m_{0}+m_{1}\right)+\text { error }
$$

## BFV Addition

Given two BFV ciphertexts, $\mathrm{ct}_{0}=\left(a_{0}, b_{0}\right)$ and $\mathrm{ct}_{1}=\left(a_{1}, b_{1}\right)$, addition can be done via
(1) Compute $c t_{2}=c t_{0}+c t_{1} \bmod q$.
(2) Return $\mathrm{ct}_{2}$.

Two questions that we want to answer: Does this actually work? and What happens to the error?

## Does this actually work?

Roughly speaking, we want our new ciphertext $\mathrm{ct}_{2}=\left(a_{2}, b_{2}\right)$ to satisfy the relationship

$$
b_{2}+a_{2} s \equiv D\left(m_{0}+m_{1}\right)+\text { error }
$$

Recall $c t_{2} \equiv c t_{0}+\operatorname{ct}_{1}=\left(a_{0}+a_{1}, b_{0}+b_{1}\right)$. We have that

$$
\begin{aligned}
\left(b_{0}+b_{1}\right)+\left(a_{0}+a_{1}\right) s & \equiv\left(b_{0}+a_{0} s\right)+\left(b_{1}+a_{1} s\right) \bmod (\Phi(x), q) \\
& \equiv\left(D m_{0}+e_{0}\right)+\left(D m_{1}+e_{1}\right) \bmod (\Phi(x), q) \\
& \equiv D\left(m_{0}+m_{1}\right)+e_{0}+e_{1} \bmod (\Phi(x), q)
\end{aligned}
$$

Therefore,

$$
b_{2}+a_{2} s \equiv D\left(m_{0}+m_{1}\right)+e_{0}+e_{1} \quad \bmod (\Phi(x), q)
$$

This is almost what we want, but we actually need to reduce $m_{0}+m_{1}$ modulo $t$ since the messages space is $R_{n, t}$.

Let $r \in R_{n, q}$ such that $m_{0}+m_{1}=\left[m_{0}+m_{1}\right]_{t}+t r$ and $\epsilon=q / t-D$. Then,

$$
\begin{aligned}
\left(b_{0}+b_{1}\right)+\left(a_{0}+a_{1}\right) s & \equiv D\left(m_{0}+m_{1}\right)+e_{0}+e_{1} \bmod (\Phi(x), q) \\
& \equiv D\left[m_{0}+m_{1}\right]_{t}+e_{0}+e_{1}+D \operatorname{tr} \bmod (\Phi(x), q) \\
& \equiv D\left[m_{0}+m_{1}\right]_{t}+e_{0}+e_{1}-\epsilon \operatorname{tr} \bmod (\Phi(x), q) \\
& \equiv D\left[m_{0}+m_{1}\right]_{t}+e_{\text {add }} \bmod (\Phi(x), q)
\end{aligned}
$$

where $e_{\text {add }}=e_{0}+e_{1}-\epsilon$ tr. Then,

$$
b_{2}+a_{2} s \equiv D\left[m_{0}+m_{1}\right]_{t}+e_{\text {add }} \quad \bmod (\Phi(x), q)
$$

## What happens to the error?

In our new ciphertext, we obtain the error term

$$
e_{\mathrm{add}}=e_{0}+e_{1}-\epsilon t r
$$

Let $\left\|e_{0}\right\|_{\infty},\left\|e_{1}\right\|_{\infty} \leq E$. Recall that $r \in R_{n, q}$ such that $m_{0}+m_{1}=\left[m_{0}+m_{1}\right]_{t}+\operatorname{tr}$ and $\epsilon=q / t-D$. Therefore, $\|r\|_{\infty} \leq 1$ and $|\epsilon| \leq 1$, which gives

$$
\begin{aligned}
\left\|e_{\text {add }}\right\|_{\infty} & =\left\|e_{0}+e_{1}-\epsilon t r\right\|_{\infty} \\
& \leq\left\|e_{0}\right\|_{\infty}+\left\|e_{1}\right\|_{\infty}+\|\epsilon \operatorname{tr}\|_{\infty} \\
& \leq 2 E+t
\end{aligned}
$$

For BFV addition, the additive error has an extra growth of at most $t$ per operation.

## BFV Multiplication

Multiplication is a little bit more involved. Here is the intuition behind it.
Given two BFV ciphertexts $\mathrm{ct}_{0}=\left(a_{0}, b_{0}\right)$ and $\mathrm{ct}_{1}=\left(a_{1}, b_{1}\right)$, recall they satisfy

$$
\begin{aligned}
& b_{0}+a_{0} s \equiv D m_{0}+e_{0} \quad \bmod (\Phi(x), q) \\
& b_{1}+a_{1} s \equiv D m_{1}+e_{1} \quad \bmod (\Phi(x), q)
\end{aligned}
$$

Step 1. Compute the following:
(1) $c_{0}=b_{0} b_{1} \bmod (\Phi(x), q)$
(2) $c_{1}=b_{1} a_{0}+b_{0} a_{1} \bmod (\Phi(x), q)$
(3) $c_{2}=a_{0} a_{1} \bmod (\Phi(x), q)$

Why?

Let $r_{m} \in R_{n, q}$ such that $m_{0} m_{1} \equiv\left[m_{0} m_{1}\right]_{t}+t r_{m} \bmod \Phi(x)$. Now, we have

$$
\begin{aligned}
c_{0}+c_{1} s+c_{2} s^{2} & \equiv b_{0} b_{1}+\left(b_{1} a_{0}+b_{0} a_{1}\right) s+a_{0} a_{1} s^{2} \bmod (\Phi(x), q) \\
& \equiv\left(b_{0}+a_{0} s\right)\left(b_{1}+a_{1} s\right) \bmod (\Phi(x), q) \\
& \equiv\left(D m_{0}+e_{0}\right)\left(D m_{1}+e_{1}\right) \bmod (\Phi(x), q) \\
& \equiv D^{2} m_{0} m_{1}+D\left(m_{0} e_{1}+m_{1} e_{0}\right)+e_{0} e_{1} \bmod (\Phi(x), q) \\
& \equiv D^{2}\left[m_{0} m_{1}\right]_{t}+D\left(m_{0} e_{1}+m_{1} e_{0}\right)+e_{0} e_{1}+D^{2} t r_{m} \bmod (\ldots \\
& \equiv D^{2}\left[m_{0} m_{1}\right]_{t}+e^{*} \bmod (\Phi(x), q)
\end{aligned}
$$

This is the intuition to multiplication. However, there are two big issues with this:

- There is now a $D^{2}$ term rather than a $D$ term in front of our message.
- The left hand side now uses $s$ and $s^{2}$ rather than just $s$.


## Converting $D^{2}$ to $D$.

The general idea here is to scale everything by $t / q \approx D$. Instead of using $c_{0}, c_{1}$, and $c_{2}$, we compute $c_{0}^{\prime}=\left\lfloor t c_{0} / q\right\rceil, c_{1}^{\prime}=\left\lfloor t c_{1} / q\right\rceil$, and $c_{2}^{\prime}=\left\lfloor t c_{2} / q\right\rceil$. Then,

$$
c_{0}^{\prime}+c_{1}^{\prime} s+c_{2}^{\prime} s^{2} \approx(t / q)\left(c_{0}+c_{1} s+c_{2} s^{2}\right)
$$

We also need to convert things into integer equations rather than equations modulo $q$ for this step. Otherwise, scaling by $t / q$ does not make sense!

The algebra to do this while maintaining a reasonably sized error term is ugly ugly.

$$
\begin{aligned}
& \quad(t / q)\left(c_{0}+c_{1} s+c_{2} s^{2}\right) \\
& \equiv\left(t D^{2} / q\right)\left[m_{0} m_{1}\right]_{t}+\left(t D^{2} / q\right) 2 t r_{m}+(t D / q)\left(m_{0} e_{1}+m_{1} e_{0}\right) \\
& +(t q / q)\left(e_{0} r_{1}+r_{0} e_{1}\right)+(t / q)\left[e_{0} e_{1}\right]_{D}+(t D / q) r_{e} \\
& +(t q D / q)\left(m_{0} r_{1}+r_{0} m_{1}\right)+\left(t q^{2} / q\right) r_{0} r_{1} \bmod \Phi(x) \\
& \equiv\left(\left(q-r_{t}(q)\right) D / q\right)\left[m_{0} m_{1}\right]_{t}+\left(\left(q-r_{t}(q)\right) D / q\right) 2 t r_{m} \\
& +\left(\left(q-r_{t}(q)\right) / q\right)\left(m_{0} e_{1}+m_{1} e_{0}\right)+(t)\left(e_{0} r_{1}+r_{0} e_{1}\right) \\
& +(t / q)\left[e_{0} e_{1}\right]_{D}+\left(\left(q-r_{t}(q)\right) / q\right) r_{e}+\left(q-r_{t}(q)\right)\left(m_{0} r_{1}+r_{0} m_{1}\right) \\
& +\left(t q^{2} / q\right) r_{0} r_{1} \bmod \Phi(x)
\end{aligned}
$$

After much simplification, we can reduce our equations to the following

$$
c_{0}^{\prime}+c_{1}^{\prime} s+c_{2}^{\prime} s^{2} \equiv D\left[m_{0} m_{1}\right]_{t}+e^{*} \quad \bmod (\Phi(x), q)
$$

where $e^{*}$ is an error term given by
$e^{*}=\left(m_{0} e_{1}+m_{1} e_{0}\right)+t\left(e_{0} r_{1}+r_{0} e_{1}\right)+r_{e}+\left(-r_{t}(q)\right)\left(r_{m}+m_{0} r_{1}+r_{0} m_{1}\right)+r_{r}-r_{a}$
More importantly... The worst-case bound on $e^{*}$ is given by:

$$
\left\|e^{*}\right\|_{\infty} \leq 2 \delta_{R} t E\left(1+\delta_{R}\|s\|_{\infty}\right)+2 \delta_{R}^{2} t^{2}\left(\|s\|_{\infty}+1\right)^{2}
$$

Here, $E$ is the bound on the original error terms $e_{0}, e_{1}$ and $\delta_{R}$ is the expansion factor in $R_{n, q}$. We will return to this bound later when we discuss error improvements.

Getting rid of $s^{2}$.
Remember, even after this we have something of the form

$$
c_{0}^{\prime}+c_{1}^{\prime} s+c_{2}^{\prime} s^{2} \equiv D\left[m_{0} m_{1}\right]_{t}+e^{*} \quad \bmod (\Phi(x), q)
$$

when we need something of the form

$$
\tilde{c_{0}}+\tilde{c}_{1} s \equiv D\left[m_{0} m_{1}\right]_{t}+\tilde{e}^{*} \quad \bmod (\Phi(x), q)
$$

Without going into detail: there are techniques to find this $\tilde{c_{0}}$ and $\tilde{c_{1}}$ by introducing a small amount of extra error.

## Error Control

Recall that to decrypt a ciphertext, we need to have error $<D / 2$. When we do operations on ciphertexts, the error grows. How do we control the ciphertext error?

We will introduce the technique known as modulus reduction in order to do this.

Let $q<Q$ positive integers. Given an integer modulus of $Q$ in the ciphertext, we will reduce the ciphertext to a modulus $q$ ciphertext while also reducing the error.

For the following, let $D_{Q}=\lfloor Q / t\rfloor$ and $D_{q}=\lfloor q / t\rfloor$.
Input: $Q \in \mathbb{Z}^{+}$an integer, $q \in \mathbb{Z}^{+}$an integer, and $c t_{0}=\left(a_{0}, b_{0}\right) \in R_{n, Q}^{2}$ BFV ciphertext such that $b_{0}+a_{0} s \equiv D_{Q} m_{0}+e_{0} \bmod (\Phi(x), Q)$.
(1) Compute $a_{0}^{\prime}=\left\lfloor\frac{q a_{0}}{Q}\right\rceil$ and $b_{0}^{\prime}=\left\lfloor\frac{q b_{0}}{Q}\right\rceil$.
(2) Return $\mathrm{ct}_{0}^{\prime}=\left(a_{0}^{\prime}, b_{0}^{\prime}\right) \in R_{n, q}^{2}$ such that $b_{0}^{\prime}+a_{0}^{\prime} s \equiv D_{q} m_{0}+e_{\mathrm{MR}}$ $\bmod (\Phi(x), q)$ for some $e_{M R}$.

We have the same two questions as before: Does this actually work? What happens to the error?

Let $\epsilon_{Q}=Q / t-D_{Q}, \epsilon_{q}=q / t-D_{q}, \epsilon_{a_{0}}=q a_{0} / Q-a_{0}^{\prime}$, and $\epsilon_{b_{0}}=q b_{0} / Q-b_{0}^{\prime}$. By assumption, we first note that $\left(a_{0}, b_{0}\right) \in R_{n, Q}$ is a $B F V$ ciphertext. That is,

$$
b_{0} \equiv-a_{0} s+D_{Q} m_{0}+e_{0} \quad \bmod (\Phi(x), Q)
$$

Therefore, there is some integer $r_{Q} \in \mathbb{Z}$ such that $b_{0}+a_{0} s \equiv D_{Q} m_{0}+e_{0}+Q r_{Q} \bmod \Phi(x)$. Then,

$$
\begin{aligned}
b_{0}^{\prime} & =\frac{q b_{0}}{Q}-\epsilon_{b_{0}} \\
& \equiv-\frac{q a_{0} s}{Q}+\frac{q D_{Q}}{Q} m_{0}+\frac{q e_{0}}{Q}-\epsilon_{b_{0}}+q r_{Q} \quad \bmod \Phi(x)
\end{aligned}
$$

Note that as $D_{Q}=Q / t-\epsilon_{Q}$, we have that $q D_{Q} / Q=q / t-q \epsilon_{Q} / Q$. Since $q / t=D_{q}+\epsilon_{q}$, we have $q D_{Q} / Q=D_{q}+\epsilon_{q}-q \epsilon_{Q} / Q$.

Therefore,

$$
\begin{aligned}
b_{0}^{\prime} & \equiv-\frac{q a_{0} s}{Q}+\frac{q D_{Q}}{Q} m_{0}+\frac{q e_{0}}{Q}-\epsilon_{b_{0}}+q r_{Q} \bmod \Phi(x) \\
& \equiv-a_{0}^{\prime} s+\epsilon_{a_{0}} s+D_{q} m_{0}+\left(\epsilon_{q}-\frac{q \epsilon_{Q}}{Q}\right) m_{0}+\frac{q e_{0}}{Q}-\epsilon_{b_{0}}+q r_{Q} \bmod \Phi(x) \\
& \equiv-a_{0}^{\prime} s+D_{q} m_{0}+e_{\mathrm{MR}} \bmod (\Phi(x), q)
\end{aligned}
$$

where

$$
e_{\mathrm{MR}}=\frac{q \epsilon_{0}}{Q}+\left(\epsilon_{q}-q \epsilon_{Q} / Q\right) m_{0}-\epsilon_{b_{0}}+\epsilon_{a_{0}} s
$$

Therefore, $b_{0}^{\prime}+a_{0}^{\prime} s \equiv D_{q} m_{0}+e_{\mathrm{MR}} \bmod (\Phi(x), q)$.

## What happens to the error?

At the end of the day, we get an error bound of the following for our new ciphertext after modulus recduction.

$$
\left\|e_{\mathrm{MR}}\right\|_{\infty} \leq \frac{q}{Q} E+\frac{t+1}{2}+\frac{\delta_{R}\|s\|_{\infty}}{2}
$$

Here, $E$ is our initial error bound and $Q>q$. We also have $Q, q, t, \delta_{R},\|s\|_{\infty}$ as either constants or predetermined parameters.

## Modulus Leveling

Let $q_{\ell+1}>q_{\ell}>\cdots>q_{0}$ be distinct primes, and define $Q_{0}, \ldots, Q_{\ell+1}$ as

$$
Q_{i}=\prod_{j=0}^{i} q_{j}
$$

We call $Q_{i}$ the modulus at level $i$. It is easy to see that $Q_{i} / Q_{i-1}=q_{i}$ for any $i \in\{1, \ldots, \ell+1\}$. The idea is that we will periodically reduce the modulus from $Q_{i}$ to $Q_{i-1}$ to reduce the error.

Modulus leveling allows for us to construct a leveled homomorphic scheme.

This means we have a scheme that allows for some predetermined number of addition and multiplication operations.

Essentially, the cryptosystem parameters are dependent on error bound sizes. We want to make these parameters better.

How can we improve these worst-case error bounds?

## Improving Error Bounds

Our three procedures result in the following error bounds

## Addition:

$$
\left\|e_{\text {add }}\right\|_{\infty} \leq 2 E+t
$$

Multiplication:

$$
\left\|e_{\text {mult }}\right\|_{\infty} \leq 2 \delta_{R} t E\left(1+\delta_{R}\|s\|_{\infty}\right)+2 \delta_{R}^{2} t^{2}\left(\|s\|_{\infty}+1\right)^{2}
$$

## Modulus Reduction:

$$
\left\|e_{\mathrm{MR}}\right\|_{\infty} \leq \frac{q}{Q} E+\frac{t+1}{2}+\frac{\delta_{R}\|s\|_{\infty}}{2}
$$

Instead of the case where $D=\lfloor q / t\rfloor$ for any $q, t$, we'll use the case where $t \mid q-1$. Then,

$$
\frac{q-1}{t}=\frac{q}{t}-\frac{1}{t}=\left\lfloor\frac{q}{t}\right\rfloor=D
$$

With this one condition, we will see an improvement in error bounds of all three of addition, multiplication, and modulus reduction.

## Addition Error Bound

Recall that addition resulted in an error bound

$$
e_{\mathrm{add}}=e_{0}+e_{1}-\epsilon t r
$$

where $r \in R_{n, q}$ such that $m_{0}+m_{1}=\left[m_{0}+m_{1}\right]_{t}+\operatorname{tr}$ and $\epsilon=q / t-D$. Using that $\|r\|_{\infty} \leq 1$ and $|\epsilon|<1$, the old error bound was

$$
\left\|e_{\text {add }}\right\|_{\infty}<2 E+t
$$

Now, we know $\epsilon=1 / t$, so the new error bound is

$$
\left\|e_{\text {add }}\right\|_{\infty}<2 E+1
$$

For BFV addition, the error grows by 1 versus $t$ previously per operation.

## Multiplication Error Bound

We won't go through the multiplication algebra, but we do end up with a similar improvement in the multiplication error. The old error bound is:

$$
\left\|e_{\text {mult }}\right\|_{\infty} \leq 2 \delta_{R} t E\left(1+\delta_{R}\|s\|_{\infty}\right)+2 \delta_{R}^{2} t^{2}\left(\|s\|_{\infty}+1\right)^{2}
$$

## Lemma (Gao, Yates)

Suppose that $t \mid q-1$ and $\delta_{R} \geq 16$. For two BFV ciphertexts with modulus $q$ and error bound $E$, their product has error $e_{\text {mult }}$ satisfying

$$
\left\|e_{m u l t}\right\|_{\infty} \leq 4 E t \delta_{R}^{2}\|s\|_{\infty}^{2}
$$

## Modulus Reduction Error Bound

The old error bound for modulus reduction was obtained by

$$
\left\|e_{\mathrm{MR}}\right\|_{\infty} \leq \frac{q}{Q} E+\frac{t+1}{2}+\frac{\delta_{R}\|s\|_{\infty}}{2}
$$

If $t \mid(Q-1)$ and $t \mid(q-1)$, then the new error bound is

$$
\left\|e_{\mathrm{MR}}\right\|_{\infty}<\frac{q}{Q} E+1+\frac{\delta_{R}\|s\|_{\infty}}{2}
$$

## Lemma (Gao, Yates)

Suppose we have a BFV ciphertext with error bounded by E. Let $e_{M R}$ be the error term resulting from performing a modulus reduction from $Q$ to q. If $Q / q>\frac{2 E}{\delta_{R}\|s\|_{\infty}^{-2}}$, then $\left\|e_{M R}\right\|_{\infty}<\delta_{R}\|s\|_{\infty}$.

Why is this nice? $\delta_{R}\|s\|_{\infty}$ is constant! The only varying value here is $E$.
With modulus leveling, we can more generically say that

$$
q_{i}>\frac{2 E}{\delta_{R}\|s\|_{\infty}-2} \Rightarrow\left\|e_{\mathrm{MR}}\right\|_{\infty}<\delta_{R}\|s\|_{\infty}
$$

$\left(\right.$ since $\left.Q_{i} / Q_{i-1}=q_{i}\right)$

## Lemma (Gao, Yates)

Suppose $q_{i}>10 k t \delta_{R}^{2}\|s\|_{\infty}^{2}$. Then, for two vectors of ciphertexts $\mathbf{u}=\left(u_{1}, \ldots, u_{k}\right) \in\left(R_{n, Q_{i}}^{2}\right)^{k}$ and $\mathbf{v}=\left(v_{1}, \ldots, v_{k}\right) \in\left(R_{n, Q_{i}}^{2}\right)^{k}$, each with error bounded by $\delta_{R}\|s\|_{\infty}$, we can choose to homomorphically compute exactly one of the following:
(1) $\langle\mathbf{u}, \mathbf{v}\rangle$, or
(2) $\left(\sum u_{i}\right)\left(\sum v_{i}\right)$

Furthermore, reducing the modulus by $q_{i}$ immediately after this computation always results in a ciphertext with error bounded by $\delta_{R}\|s\|_{\infty}$.

For the optimized case, this is just $q_{i}>10 k t n^{2}$.


Figure: Homomorphic inner product for each $q_{i}$


Figure: Homomorphic product of sums for each $q_{i}$

## How did we prove this?

(1) Improve error bounds for addition, multiplication, and modulus reduction.
(2) Reformulate modulus reduction to reduce error within a constant bound of $\delta_{R}\|s\|_{\infty}$.
(3) Starting with ciphertexts with errors bounded by $\delta_{R}\|s\|_{\infty}$, determine worst case bounds that are constant numbers after performing 1 round of multiplication and $k-1$ additions.
(9) Choose each $q_{i}$ to satisfy this worst-case constant bound.

## Who cares?

## Secure Cloud Computing

- Homomorphic encryption can be used for secure cloud computing. That is, you can carry out computations in the cloud or using an outside computational source without compromising data.
- Cloud computing has become increasingly popular
- Amazon Web Services (AWS), Microsoft Azure, Google Cloud Platform (GCP)
- AWS - Encryption services provided in transit and at rest.


## Secure Multi-party Computation

(1) Suppose there are $N$ parties, each holding a private share. The parties want to jointly compute an outcome of their combined shares, while keeping their individual share secret.
(2) Example: E-voting schemes.
(3) Naturally, homomorphic encryption has close ties and applications in multi-party computation.

## Open Source Projects

- PALISADE Library
https://palisade-crypto.org/
- Microsoft SEAL
https://www.microsoft.com/en-us/research/project/microsoft-seal/
- HElib (IBM)
https://homenc.github.io/HElib/
- OpenFHE
https://www.openfhe.org/


## This just scratches the surface of homomorphic encryption. Other content includes:

-Chinese Remainder Theorem. Break down $Q$ into individual coprime pieces and do operations component-wise. Tricky part here is doing multiplication computations that we did in $\mathbb{Q}$ or $\mathbb{R}$.
-Number theoretic transforms for actual computation.
-Accuracy of CKKS floating point approximations.
-Non-arithmetic operations (ie, sgn function).
-Bootstrapping (modulus raising).

## Thank You! :)

